Handbook of Self and Identity

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The Self as an Organizing Construct in the Behavioral and Social Sciences

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Major advances in science often occur when the work of a large number of researchers begins to converge on a single unifying construct. Within psychology, for example, "learning" dominated the psychological landscape of the 1950s, "attitude" served as a major point in the 1960s, "attraction" was pervasive during the 1970s, and "cognition" was ubiquitous during the 1980s. Then, when the specific topics studied under a particular conceptual umbrella vary widely, the overlapping and complementary findings of many researchers often lead to a rapid, synergistic accumulation of knowledge. In retrospect, periods in which a large number of researchers rally around the same topic may appear somewhat faddish. Nonetheless, progress on a particular topic is often rapid when researchers invest a good deal of time and effort in it.

Since the 1970s, one such unifying construct within psychology, sociology, and other social and behavioral sciences has been the self, as tens of thousands of articles, chapters, and books have been devoted to self-related phenomena. The various topics that have fallen under the umbrella of the self have been quite diffuse—self-awareness, self-esteem, self-control, identity, self-verification, self-verification, self-conscious emotions, self-discrepancy, self-evaluation, self-monitoring, and so on—leading Baumeister (1998) to conclude that "self is not really a single topic at all, but rather an aggregate of loosely related subtopics" (p. 681). In one sense, this is undoubtedly true. Yet virtually all of these phenomena involve, in one way or another, the capacity for self-reflection that lies at the heart of the self.

Although a great deal of behavior occurs automatically and nonconsciously (Bargh & Chartrand, 1999), many complex human behaviors involve some degree of self-reflection. Some phenomena—such as long-term planning, coping under pressure, self-conscious emotions (e.g., shame and guilt), self-verification, and deliberate self-presentation—simply cannot occur in animals that are unable to self-reflect. Other phenomena—such as interpersonal communication, conformity, cooperation, mating, and nonsocial emotions such as sadness and
feared—do not necessarily require self-reflection yet are drastically modified when people think about themselves. It seems impossible to understand the complexities of human behavior without reference to the human capacity to think about oneself. Indeed, reflexive consciousness may be the most important psychological characteristic that distinguishes human beings from most, if not all, other animals.

In light of the obvious importance of self-reflection to understanding human behavior, it is curious that behavioral and social scientists took so long to move the study of the self to a prominent position, particularly given that its importance was recognized millennia ago. The beginnings of intellectual discussions of the self are often traced to Plato (circa 428–347 B.C.E.), but we find Eastern writers wrestling with the problem of the self even earlier. The Upanishads, written in India as early as 600 B.C.E., the Tao te Ching in China (circa 500 B.C.E.), and the philosophy of Gautama Buddha (circa 563–483 B.C.E.) dealt extensively with questions about self, reflexive consciousness, and identity that still interest researchers today. In fact, many of the insights of these early philosophers were surprisingly astute, foreshadowing recent “discoveries” in behavioral and social science.

For nearly two millennia afterward, most discussions of the self appeared in religious and theological contexts, as writers analyzed the evils of egotism, pride, and selfishness and pondered ways to help people escape the self-centeredness that the writers believed interferes with spiritual insight and leads to immoral behavior. During the Enlightenment, most major philosophers tackled the problem of the self, including Descartes, Locke, Hume, Leibnitz, Berkeley, and Kant. The first detailed psychological discussion of the self did not appear until William James (1890) devoted a chapter of his Principles of Psychology to “The Consciousness of Self.” James not only laid a strong conceptual foundation for the study of the self but also touted the importance of the self for understanding human behavior and set a strong precedent for regarding the self as a legitimate topic of scholarly investigation.

Oddly, however, behavioral scientists did not pick up where James left off for many years, due in large measure to the domination of psychological thought by behaviorism on one hand and psychoanalysis on the other. Most academic researchers were persuaded by behaviorism’s admonition to avoid mention of invisible internal entities such as the self, and those enamored by psychoanalysis couched investigations of psychological processes in Freudian terms. Although Freud posited the existence of an executive ego that struggled to manage the individual’s intrapsychic affairs, his conceptualization was too far removed from prevailing constructs in academic psychology to promote widespread adoption among behavioral scientists.

Even so, several influential theorists emphasized the importance of the self for understanding human behavior, and society more generally, during the early and mid-20th century. Charles Horton Cooley (1902) was particularly instrumental in bringing the self to the attention of sociologists, and George Herbert Mead (1934) extended and refined Cooley’s ideas with a psychological twist. Likewise, Ellsworth Faris (1937) and Herbert Blumer (1937) further promoted the study of the self in sociology, leading to the development of what became known as “symbolic interactionism.” A little later, Erving Goffman’s (1959) seminal work on self-presentation stimulated another wave of interest in the self. Although Goffman himself dismissed psychology’s view of an inner self, the researchers who imported the study of self-presentation into psychology assumed that the self was intimately involved in self-presentation (E. Jones, 1964; Schlenker, 1980).

At about the same time, the neo-Freudians began to offer perspectives on the self that not only differed markedly from Freud’s notion of the ego but that also tied the self to interpersonal processes. Alfred Adler, Karen Horney, and Harry Stack Sullivan, for example, provided views of the self that were more palatable to many academic psychologists than the original incarnation of psychoanalysis had been (Ansbacher & Ansbacher, 1964; Horney, 1950; Sullivan, 1953). Over time, these ideas evolved into the clinical perspectives known as ego psychology, self psychology, and object relations theory (Kurzweil, 1989).

By the mid-1950s, Gordon Allport (1955, p. 37) observed:
Perhaps without being fully aware of the historical situation, many psychologists have commenced to embrace what two decades ago would have been considered a heresy. They have re-introduced self and ego unashamedly and, as if to make up for lost time, have employed ancillary concepts such as self-image, self-actualization, self-affirmation, phenomenal ego, ego-involvement, ego-attaining, and many other hyphenated elaborations which to experimental positivism still have a slight flavor of scientific obscurity.

Much of this work within psychology had a humanistic bent, as exemplified by Carl Rogers's (1959) theories of personality and psychotherapy and Abraham Maslow's (1954) work on fully functioning (i.e., self-actualized) individuals. However, although they provided many new ideas, the efforts of the neo-Freudians, humanists, and symbolic interactionists led to little systematic empirical research on the self.

Three developments converged to increase the attention given to the self by academic psychologists and sociologists in the second half of the 20th century. The first concerted empirical interest in the self arose in the context of self-esteem in the 1950s and 1960s (Berger, 1952; Coopersmith, 1967; Janis & Field, 1959; Rosenberg, 1965). Not only did these writers demonstrate the importance of self-esteem as a psychological construct, but they also provided self-report measures that stimulated a good deal of research. This early work on the predictors and concomitants of self-esteem then led to an interest in how people maintain their self-esteem in the face of various threats to their identity. Beginning in the 1960s, theorists began to use self-esteem motivation to explain a variety of phenomena, including conformity, self-serving attributions, reactions to self-relevant feedback, attitude change, prosocial behavior, and group behavior (e.g., Aronson, 1969; Bradley, 1978; Gergen, 1971; Greenwald, 1980; Jones, 1973).

The second development, the cognitive revolution in psychology, legitimized the study of thoughts and internal control processes. Armed with new models of how people attend to and process information—many of them rooted in computer metaphors—researchers began to conceptualize the self in terms of attention and cognitive processes (Markus, 1977). Self-awareness theory (Duval & Wicklund, 1972) was particularly instrumental in changing how psychologists viewed the self, and led to control and cybernetic approaches to self-regulation (Carver & Scheier, 1981; Hull & Levy, 1979). Studying self from a cognitive framework also led to an expansion of interest in identity, which, although long a popular topic within sociology (Burke & Tully, 1977; McCal. & Simmons, 1966; Rosenberg, 1965; Stryker, 1980), attracted more attention after identity and self-concept were explicitly cognitivized (Epstein, 1973; Markus, 1980).

Third, the publication of several measures of dispositional attributes related to the self also prompted a surge of interest in self-related topics in the 1960s and 1970s. In addition to the measures of trait self-esteem mentioned earlier (Coopersmith, 1967; Janis & Field, 1959; Rosenberg, 1965), measures of self-monitoring (Snyder, 1974), self-consciousness (Fenigstein, Scheier, & Buss, 1975), and self-concept (Wylie, 1974) fueled a great deal of theoretical and empirical attention to the self. The ease with which research could be conducted using self-report measures of these characteristics was both a blessing (in that it generated a proliferation of research interest) and a curse (because it led to a large number of hastily designed studies). By the 1980s, the self had emerged as a vibrant and central topic of investigation, and, by a decade later, interest in the self dominated many areas of psychology and sociology. Progress on each of these topics did no: always inform the others as much as one might have liked (see Mischel & Morf, Chapter 2, this volume), but the fact that so many researchers were studying related constructs pushed our understanding of self and identity forward at a fast clip.

The Meanings of "Self"

In one sense, it is surprising that psychologists and sociologists took so long to embrace the relevance of the self for understanding human behavior. Not only had its importance been discussed in philosophical circles for nearly 3,000 years, but also influential early figures such as James, Cooley,
and Mead had stressed its utility as an explanatory construct. In another sense, however; it is perhaps surprising that progress in understanding self and identity has been as rapid as it has. From the beginning, the topic has been bogged down in a conceptual quagmire as muddy as any in the social and behavioral sciences. Although psychologists and sociologists often have had difficulty agreeing how to define and conceptualize their constructs, “self” has been particularly troublesome. Not only have we lacked a single, universally accepted definition of “self,” but also many definitions clearly refer to distinctly different phenomena, and some uses of the term are difficult to grasp no matter what definition one applies.

To see that this is the case, consider what the term “self” refers to in each of the following phrases, each of which has received attention by self researchers: self-awareness, false self, turning against the self, expanding the self, self-talk, honoring the self, vulnerability of the self, loss of self, self-disclosure, the border between self and others, social self, self-schema, traumatized self, sense of self, lack of time for the self, possible self, self-actualization. At best, inspection of these and other self terms suggests that “self” does not mean the same thing in all of these constructions; at worst, one begins to wonder what the term “self” actually means in any of them. To complicate matters, different writers have used precisely the same terms differently, and sometimes individual writers have used “self” in more than one way within a single paper.

Semantic debates in science are often unproductive. Magee (1985, p. 49) warned that “the amount of worthwhile knowledge that comes out of any field of inquiry . . . tends to be in inverse proportion to the amount of discussion about the meaning of words that goes into it. Such discussion, far from being necessary to clear thinking and precise knowledge, obscures both, and is bound to lead to endless argument about words instead of matters of substance.” Despite Magee’s warning, however, we feel compelled to spend a few pages grappling with the definition of self and self-related constructs. At minimum, we hope to alert researchers to the ways in which “self” is used and to urge them to choose their words with care.

**Disparate Uses of “Self”**

We have identified five distinct ways in which behavioral and social scientists commonly use the word “self” and its compounds (e.g., “self-esteem,” “self-regulation,” “self-verification”). (Olson, 1999, discussed eight uses of “self” among philosophers, some of which overlap with ours.)

**Self as the Total Person**

First, writers sometimes use the word “self” as more or less synonymous with “person,” which also seems to be common in everyday language. In this usage, one’s “self” is just that person, him- or herself. The compound “self-mutilation” relies on this meaning (the individual mutilates his or her own person), as do “self-monitoring” (the person monitors him- or herself as a person) and “self-defeating behavior” (the person is undermining his or her personal well-being). Similarly, writers sometimes use “self” to refer to the person him- or herself when “oneself” or “themselves” would be clearer (as in a study that found that “lack of time for self” was a common complaint among respondents).

Although this is obviously a perfectly acceptable use of “self” in everyday writing, uses that equate the self with the person do not refer to the psychological entity that is actually of interest to self researchers. From a psychological standpoint, most people (social and behavioral scientists included) do not seem to think that a person is a self but rather that each person has a self (Olson, 1999). If this is so, using “self” as a synonym for person in psychological writing is unnecessary and potentially confusing. When one means the person him- or herself, using “person” or reflexive pronouns, such as “oneself,” will avoid confusion.

**Self as Personality**

Other writers have used “self” to refer to all or part of an individual’s personality. For example, Wicklund and Eckert (1992) equated self with one’s “behavioral potentials” (p. 3), and Tesser (2002, p. 185) suggested that the self is “a collection of abili-
ties, temperament, goals, values, and preferences that distinguish one individual from another....” Similarly, when Maslow (1954) wrote about “self-actualization,” he was referring to actualization of a person’s personality—a personality that was integrated, nondefensive, and optimally functioning. Again, using “self” as a rough synonym for personality appears to be acceptable in everyday discourse. Even so, using “self” to refer to a person’s personality or the totality of aspects of a person that make him or her psychologically unique also breeds confusion. If a person’s self is that person’s personality, does that mean that all personality researchers are studying the self? In our view, the term “personality” captures this meaning far better than “self” does (although the self is obviously relevant to understanding aspects of personality).

**Self as Experiencing Subject**

James (1890) introduced a distinction, subsequently adopted by generations of theorists and researchers, between two intertwined aspects of the self—the self as subject and the self as object. The self-as-subject, or “I,” is the psychological process that is responsible for self-awareness and self-knowledge; many writers have called this entity the “self-as-knower” to distinguish it from the “self-as-known.” Thus many writers use “self” to refer to the inner psychological entity that is the center or subject of a person’s experience.

This use of “self” is reflected in the phenomenology of selfhood. Most people have the sense that there is an experiencing “thing” inside their heads that registers their experiences, thinks their thoughts, and feels their feelings. Further, many people report that this mental presence is at the core of who they really or most essentially are (Olson, 1999). The fact that there is no specific neurophysiological structure underlying this experience of self does not undermine the subjective sense that there is a conscious entity—a self—in there somewhere.

**Self as Beliefs about Oneself**

James contrasted the “self-as-knower” (the I-self) with the “self-as-known” (the Me-self). Many uses of “self” refer to perceptions, thoughts, and feelings about oneself—the various answers that a person might give to questions such as “Who am I?” and “What am I like?” Thus, when we speak of a “fragmented sense of self,” we presumably mean that an individual’s beliefs about him- or herself do not form a coherent whole. Likewise, when people “enhance the self,” they are inflating the positivity of their beliefs about themselves, and when they “self-disclose,” they are sharing the information they have about themselves with other people. Processes such as “self-verification” and “self-affirmation” also involve people’s perceptions of and beliefs about themselves.

In our view, it seems important to distinguish clearly between a person’s “self” per se and the person’s knowledge or beliefs about him- or herself. It does not seem useful to regard the self as nothing more than a person’s beliefs about himself or herself as a person (cf. Epstein, 1973). Fortunately, most writers have used terms such as “self-concept,” “self-image,” “self-schema,” or “self-beliefs” to refer specifically to people’s conceptualizations of or beliefs about themselves.

**Self as Executive Agent**

A fifth usage regards the self as a decision maker and doer, as the agentic “ghost in the machine” that regulates people’s behavior. As Hamachek (1971) noted, one aspect of the self involves “the personality structure that represents the core of decision-making, planning, and defensiveness” (p. 6). Baumeister’s (1999) discussion of the “executive function” of the self nicely captures this usage. Far from the problematic homunculus or psychodynamic ego that befuddled researchers of earlier generations, the executive self is often conceptualized as a cybernetic, self-control process (Carver & Scheier, 1981). When we speak of processes involving “self-control” and “self-regulation,” we are referring to this executive feature of the self.

**A Conceptual Morass**

As we have shown, various writers have used “self” to refer to the person him- or herself,
to the person's personality, to the seat of a person's self-awareness, to a person's knowledge about him- or herself, and to the source of agency and volition. A reader for whom "self" connotes any one of these definitions of self may easily misinterpret writers who use other definitions. For example, when we say that infants and most nonhuman animals do not possess a self, do we mean that they fail to meet the criteria for being a person, have no personality, lack subjectivity, do not have a concept of who or what they are, or can not exercise deliberate self-control? In one sense, we may mean all of these things, but in another sense, we may mean none of them. Similarly, the prefix "self-" refers to a quite different construct in terms such as self-observation, self-actualization, self-talk, self-schema, and self-regulation.

A Plea for Clarity

Our intention is not to offer the final word on the meaning of "self" but rather to alert writers to the widespread semantic confusion that exists, to urge them to consider their uses of "self" carefully, and to offer a few suggestions. First, we think that there are good reasons to avoid using "self" as a synonym for both "person" and "personality" in scholarly writing. Not only do clearer and more precise words than "self" exist for these constructs, but also most work in the social and behavioral sciences that focuses on the self deals with something other than the total person or the personality.

Each of the other three uses of "self" described earlier has some merit. The self is, in fact, somehow involved in (1) people's experience of themselves (though a self is not needed for consciousness per se), (2) their perceptions, thoughts, and feelings about themselves, and (3) their deliberate efforts to regulate their own behavior. However, none of these three specific uses of "self" captures the nature of the self in a way that encompasses all of the others. Thus we must either concede that "self" has at least three very different meanings (not a desirable state of affairs if we desire precision and clarity) or else arrive at a definition that encompasses all three of these uses.

If we dig down to the fundamental, essential quality that underlies all three of these uses of the term "self," we arrive at the human capacity for reflexive thinking—the ability to take oneself as the object of one's attention and thought. Virtually all scholarly interest in the self involves, in one way or another, phenomena that involve this capacity for reflexive consciousness. At its root, then, we think it is useful to regard the self as the psychological apparatus that allows organisms to think consciously about themselves. The self is a mental capacity that allows an animal to take itself as the object of its own attention and to think consciously about itself.

This definition of self accommodates the three preceding connotations. The special psychological apparatus that permits self-reflection affects the nature of conscious experience (because people can think about the self-relevancy of what they experience), underlies all perceptions, beliefs, and feelings about oneself (because self-conceptualization requires the ability to self-reflect), and allows people to deliberately regulate their own behavior (because self-regulation requires thinking about personal goals and how to meet them). Furthermore, with a few exceptions (such as "self-mutilation"), most hyphenated psychological constructs that have "self-" as a prefix—such as self-efficacy, self-deception, self-schema, self-presentation, and self-control—all refer to constructs, processes, or phenomena that, at their base, involve the ability to think reflexively about oneself.

Whether or not others agree with our basic definition of self, one way to avoid confusion is to use precise terms in place of the ambiguous "self." All of those hyphenated "self" terms serve us well in this regard. For example, if the focus is on the self as object, terms that denote thoughts about the self should be used as appropriate, such as "self-schema," "self-concept," "self-belief," or others. In our experience, a clearer, more precise term than "self" can almost always be found except when referring to the cognitive mechanism that allows reflexive self-thinking to take place, for which "self" is the only designation.

Carving Up the Self Pie

Starting with the idea that the self is the mental apparatus that underlies self-reflec-
tion, we can begin to bring order to the vast array of phenomena that self researchers have studied by considering the self-processes that have been of greatest interest to investigators. At the risk of oversimplifying, most of the psychological phenomena that have been studied with regard to the self involves one of three basic psychological processes—attention, cognition, and regulation. These three processes are inextricably related, and it is rare for one to occur without one or both of the others. For example, focusing attention on oneself often results in self-relevant cognitions and allows the possibility of regulation, thinking about oneself requires self-attention, and self-regulation requires both self-attention and self-cognition, and so on. Even so, these seem to be distinct psychological processes that have different consequences and that are probably controlled by different regions of the brain.

Attentional Processes

At the most basic level, possession of a self allows people to direct their conscious attention to themselves, either spontaneously or purposefully. (In the case of deliberate self-attention, the regulatory function described subsequently is also involved.) Only a few other animals appear to possess a self that has a rudimentary capacity for self-attention, namely chimpanzees, orangutans, and possibly dolphins (Gallup & Suarez, 1986; Mitchell, Chapter 28, this volume). As considerable work on self-attention has shown, simply focusing attention on oneself has important effects on thought, emotion, and behavior (Carver & Scheier, 1981; Duval & Wicklund, 1972), and self-awareness is required for most other self-related processes.

Cognitive Processes

Possession of a self allows people to think consciously about themselves. Some of these self-thoughts involve one’s current state and situation, others involve one’s enduring attributes and roles, and others involve memories and imaginings, such as of oneself in the past or future. The capacity for self-relevant thought underlies the construction of a self-concept and identity, as well as the development of the various standards that guide people’s actions and influence their emotions, such as standards involving what they should do or be (Higgins, 1987). Among other things, self-relevant cognitions provide the link between the social world and the individual.

Executive Processes

The ability to attend to and think about oneself, both now and in the future, allows the possibility for human beings to regulate themselves. Unlike other animals, people can decide to control how they think, feel, and behave, then set about to do so. Of course, people’s efforts at self-control are met with mixed success, but the possession of a self at least allows the possibility that one can occasionally escape the influence of one’s environment, history, and internal state to act in autonomous, self-directed ways.

Theorists have found it a challenge to conceptualize the executive aspect of the self in a way that avoids positing something like a homunculus. If a person controls his or her responses through volition, who or what is doing the controlling? Cybernetic, computer, and neural-network models have all helped in this regard, explaining how interconnected elements of a physical system can allow the system to autoregulate in complex ways. However, none of these models can easily account for precisely how people make conscious, deliberate, intentional choices. Our sense is that this problem will not be addressed adequately until the larger problem of consciousness is solved. Once we understand how consciousness can arise from biological matter, we ought to be in a better position to talk about how it is that consciousness can focus on itself, allowing an organism to think about its own thoughts and direct the responses of the body in which it resides.

What about Motivation and Emotion?

Beyond capacities for self-relevant attention, cognition, and regulation, many writers have also imbued the self with motivational and emotional qualities, positing special self-motives (such as motives for self-enhancement and self-verification) and self-relevant emotions (such as pride,
shame, and embarrassment). However, the relationship between the self and motivation and emotion is indirect and complex, and we do not think that the evidence at present is sufficient to conclude that the self possesses motivational or emotional qualities of its own.

The difficulty in addressing this question is that self is not essential for either emotion or motivation in the same way that it is required for self-attention, self-thought, and self-regulation. An organism absolutely must have a self in order to attend to, think about, and regulate itself. On the contrary, self-less animals experience emotions and have motives, and human beings also demonstrate automatic, nonconscious motives and affective reactions that do not involve self-reflection (Bargh & Chartrand, 1999). Put simply, many emotional and motivational processes do not require a self. Even so, possessing a self clearly extends people’s range of motivational and emotional experiences far beyond those of other animals, and the self appears to underlie several motivational and emotional phenomena that appear to be unique to human beings.

The Self and Emotion

Having a self changes the nature of emotional experience by allowing people to create emotions in themselves by imagining self-relevant events, reacting emotionally to symbolic images of themselves in their own minds, consciously contemplating the causes of their reactions, and deliberately regulating their emotional experiences (Leary, in press). By being able to think about themselves, people can create subjective events that elicit emotional reactions. These emotions are not part of the self per se but are rather the consequences of certain self-thoughts and other appraisals.

However, one special category of emotions does appear to require a self. The “self-conscious emotions”—such as embarrassment, shame, guilt, and pride—occur only when people either judge themselves relative to their personal standards or imagine how they are being regarded by other people (Miller, 1996; Tangney & Dearing, 2002; Tangney & Fischer, 1995). Most theorists concur that self-reflection is necessary in order for people to experience these emotions and that neither nonhuman animals nor human infants before the ages of 18–24 months appear to experience these emotions (Buss, 1980; Lewis, 1992; Lewis & Brooks-Gunn, 1979; Lewis, Sullivan, Stanger, & Weiss, 1989).

It is unclear at present whether these self-conscious emotions should be considered part of the self (as inasmuch as they cannot occur without it) or whether they are best regarded as the output of an integrated cognitive–affective system that is linked to the self. Given that the underpinnings of many of the self-conscious motives may be found in nonhuman animals (particularly in encounters among conspecifics involving dominance and submission; Gilbert & Trower, 1990), it may be best to regard them for now as emotions that have been appropriated by the self. Clearly, the precise nature of the link between the self and emotion deserves concerted research attention (Leary, in press).

Self-Motives

Likewise, possession of a self opens the possibility of motivated actions that are not possible without one. Writers have postulated several self-related motives, including self-esteem maintenance (or ego defense), self-verification, self-appraisal, self-actualization, self-affirmation, and self-expansion. What is not clear, however, is whether it is best to attribute these motives to the self per se (as if the self wants certain things for itself) or to view them as self-mediated ways to satisfy other, more basic motives and needs. We do not question that people behave in ways that make it appear as if they are inherently motivated to preserve their self-esteem, to maintain a consistent view of themselves, to seek accurate information about themselves, and so on, nor that self-reflection is often involved in these processes. Yet, rather than reflecting freestanding self-motives that are especially dedicated to fostering some quality of the self (such as a positive evaluation, consistency, integrity, or expansion), these pervasive proclivities may emerge from more general and fundamental motives, such as to minimize unpleasant affect or reduce uncertainty.
Put differently, having a self gives people additional ways of dealing with negative feelings and uncertainty that are not available to other, self-less animals. Other animals must take behavioral action to change their emotions (such as fleeing a predator) or to reduce uncertainty (such as exploring a novel stimulus). Armed with a self, however, people may influence their feelings simply by thinking about themselves and their worlds in certain ways. So, for example, people can engage in self-deception or self-affirmation to make themselves feel better, can overestimate the amount of control that they have over events to reduce anxiety, can construe themselves in ways that give them a consistent and, thus, more useful self-image, or can decide that more certainty exists than is, in fact, the case. In each instance, they are cognitively manipulating information in ways that achieve certain psychological outcomes, in a sense “cheating” the system by reaping the subjective effects of events that they experience only in their minds. Viewed in this way, these phenomena seem to emerge from self-mediated efforts to satisfy other motives rather than from freestanding motives of the self, although the jury is obviously still out on this issue.

Thus it may be more parsimonious to conclude that emotional and motivational systems are intimately linked to the self but are not an inherent part of it. Thus, for example, emotion and motivation may be affected when people compare themselves with their standards or with their past selves (Carver & Scheier, 1981; Higgins, 1987), contemplate their failures, shortcomings, and moral lapses (Tangney & Dearing, in press), think about how other people perceive them (Leary & Kowalski, 1993), ponder their goals and how to achieve them (Cantor & Zirkel, 1990), or assess their ability to perform certain tasks (Maddux, 1999). In each case, reflexive consciousness, along with self-generated affect, may energize and direct behavior, but the emotional and motivational systems themselves are independent of the mechanism that is responsible for self-reflection (i.e., the self). People’s thoughts about themselves (which do involve the activity of the self) influence their emotion and motivation in much the same way that thoughts about many things in the world can affect what they feel and desire at any particular time.

Self-Constructs, Self-Processes, and Self-Phenomena

Table 1.1 lists, in alphabetical order, a number of constructs, processes, and phenomena that, in one way or another, deal explicitly with the self. Although the list is by no means exhaustive, it provides a flavor for the variety of phenomena that have been studied under the rubric of the self. Importantly, as suggested earlier, the “self-” prefix means something different in different terms. So, for example, the “self” in “self-destructive behavior” seems to refer to something different from the “self” in “self-awareness.” (Terms that do not refer to the psychological self in any way, such as “self-fulfilling prophecy,” are not included.)

The first thing one notices is the sheer number of “self”-related terms. Just out of curiosity, we looked to see how many hyphenated “self” terms appeared in the abstracts in the PsychInfo computerized database through June of 2001. Eliminating the term “self-report,” we found over 150,000 abstracts that contained a hyphenated “self” term, and this did not include such other central “self” terms as ego and identity! The most frequent ones included “self-concept” and “self-esteem” (with more than 20,000 references each), “self-control” and “self-disclosure” (with approximately 5,000 references each), and “self-actualization,” “self-monitoring,” “self-confidence,” and “self-awareness” (each with more than 2,000 references).

Inspector of Table 1.1 also shows how splintered research on the self is at present. Very little effort has been devoted into exploring how each of the constructs, processes, and phenomena relate to other entries in Table 1.1. A smattering of work has examined the relationships among different constructs (such as Tesser, Crepaz, Beach, Cornell, and Collins’s [2000] efforts to show the substitutability of various processes that involve self-esteem maintenance), but such efforts have been sparse. Researchers may wish to give additional attention to how
Table 1.1. Self-Related Constructs, Processes, and Phenomena

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<th>Desired/undesired self</th>
<th>Self-blame</th>
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<td>Ego</td>
<td>Self-care</td>
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<td>Ego defense</td>
<td>Self-categorization</td>
<td>Self-identification</td>
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<td>Ego extension</td>
<td>Self-completion</td>
<td>Self-identity</td>
</tr>
<tr>
<td>Ego ideal</td>
<td>Self-complexity</td>
<td>Self-image</td>
</tr>
<tr>
<td>Ego identity</td>
<td>Self-concept</td>
<td>Self-management</td>
</tr>
<tr>
<td>Ego integrity</td>
<td>Self-confidence</td>
<td>Self-monitoring</td>
</tr>
<tr>
<td>Ego strength</td>
<td>Self-conscious emotions</td>
<td>Self-organization</td>
</tr>
<tr>
<td>Ego threat</td>
<td>Self-consciousness</td>
<td>Self-perception</td>
</tr>
<tr>
<td>Feared self</td>
<td>Self-control</td>
<td>Self-preservation</td>
</tr>
<tr>
<td>Future/past self</td>
<td>Self-criticism</td>
<td>Self-prevention</td>
</tr>
<tr>
<td>Ideal self</td>
<td>Self-deception</td>
<td>Self-protection</td>
</tr>
<tr>
<td>Identity</td>
<td>Self-defeating behavior</td>
<td>Self-reference</td>
</tr>
<tr>
<td>Identity orientation</td>
<td>Self-definition</td>
<td>Self-regard</td>
</tr>
<tr>
<td>Ought/should self</td>
<td>Self-development</td>
<td>Self-relation</td>
</tr>
<tr>
<td>Possible selves</td>
<td>Self-disclosure</td>
<td>Self-schema</td>
</tr>
<tr>
<td>Self-acceptance</td>
<td>Self-discrepancy</td>
<td>Self-silencing</td>
</tr>
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<td>Self-actualization</td>
<td>Self-doubt</td>
<td>Self-talk</td>
</tr>
<tr>
<td>Self-affirmation</td>
<td>Self-efficacy</td>
<td>Self-trust</td>
</tr>
<tr>
<td>Self-appraisal</td>
<td>Self-enhancement</td>
<td>Self-verification</td>
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<td>Self-esteem</td>
<td>Self-worth</td>
</tr>
<tr>
<td>Self-awareness</td>
<td>Self-evaluation</td>
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</tr>
</tbody>
</table>

their particular topic of interest relates to other self-processes more generally. Our current microtheories of specific self-related phenomena will take us only so far in understanding the self as a whole.

When we first designed Table 1.1, we planned to indicate beside each construct whether the term refers primarily to an attentional, cognitive, or executive feature of the self or to an emotional–motivational phenomenon in which the self is inherently involved. However, we quickly despaired of making these designations. Virtually every construct on the list involves at least two—and often three or four—of these features. For example, “self-awareness” is clearly an attentional phenomenon at heart, yet it is tied intimately to self-cognition, self-regulation, and self-relevant motivation and emotion (Carver & Scheier, 1981), and researchers who have studied self-awareness have often been interested in its cognitive, regulatory, motivational, or emotional concomitants rather than in self-attention per se. Likewise, “self-efficacy” is a cognitive phenomenon that relates directly to regulatory, motivational, and emotional processes (Maddux, 1999), and “self-conscious emotions” are emotional phenomena that necessarily involve self-attention and self-cogni-

tion and that have regulatory implications (Tangney & Fischer, 1995). Our inability to unequivocally categorize any of the constructs in Table 1.1 is instructive because it shows that the attentional, cognitive, and regulatory aspects of the self are intimately interconnected, with pervasive links to emotion and motivation.

Conclusion

It seems virtually impossible to develop a full understanding of human behavior without taking into account the fact that human beings can attend to, think about, and act on themselves in ways that are not possible for any other animal. Major strides have been made in understanding the self over the past century (Hoyle, Kernis, Leary, & Baldwin, 1999), and, now that self research is no longer stigmatized as it once was, progress should continue at a fast pace.

Although we are optimistic about the state of self theory and research, our optimism is tempered slightly by the fact that the field is composed of a large number of pockets of self-contained research literatures that have yet to be adequately integrated. With a few exceptions, behavioral
and social scientists, perhaps with good reason, have avoided large-scale theorizing in favor of limited-domain theories, leaving the big picture to philosophers of mind. Although the philosophers have contributed many useful ideas and theoretical perspectives on the self (see Gallagher & Shear, 1999), they have generally not tied those ideas to the extensive empirical literature in psychology and sociology. As a result, social and behavioral scientists have not rushed to embrace those perspectives, to use them to interpret their own findings, or to base their research on them. The future of self research will depend in large measure on how successfully broad theoretical advances are able to link together specific bodies of research that deal with self and identity.

References


I. INTRODUCTION


